

DEC 18 2001

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/845,064         | 04/27/2001          | Veronique Gruber      | 18433/2002             |

CONFIRMATION NO. 1669

27495

PALMER & DODGE, LLP  
ONE BEACON STREET  
BOSTON, MA 02108-3190

Docketed

Response Due

Statutory Period

Palmer & Dodge LLP  
Patent Department

FORMALITIES LETTER



\*OC000000006195758\*

Date Mailed: 06/18/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

Repln. Ref: 01/09/2002 SSALLEKU 0012091800

Date: 160085 Name/Number: 09845064

FC: 704 \$9.00 CR

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- Total additional claim fee(s) for this application is \$531.

- \$396 for 44 total claims over 20.
- \$135 for multiple dependent claim surcharge.

- The oath or declaration is missing.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

- The balance due by applicant is \$ 951.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

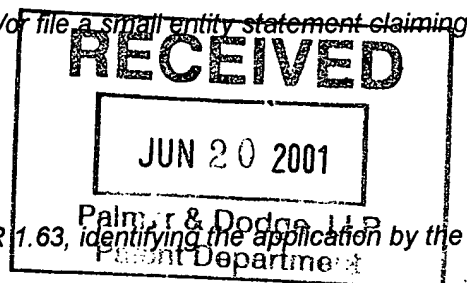
01/09/2002 SSALLEKU 00000015-09845064  
01 FC:203 387.00 OP

- Papers contain improper margins. Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")

Adjustment date: 01/09/2002 SSALLEKU

12/21/2001 CCHAU 00000072-09845064

02 FC:203 396.00 OP



12/21/2001 CCHAU 00000072 160085 09845064

02 FC:203 370.00 OP  
03 FC:203 370.00 OP  
04 FC:204 140.00 OP  
05 FC:205 45.00 OP  
20.00 CH

*A copy of this notice MUST be returned with the reply.*



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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/11/2011 10:11:11 AM



Express Mail Label No.: EL326923513US

Date of Deposit: December 18, 2001

Atty. Docket No.: 18433/2002

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Gruber, et al.  
Serial No.: 09/845,064  
Filed: April 27, 2001  
Entitled: "Clean Synthetic Vectors, Plasmids,  
Transgenic Plants and Plant Parts  
Containing Them, and Methods for  
Obtaining Them"

Examiner: Not Yet Assigned

Group Art Unit: Not Yet Assigned

Conf. No.: 1669

Box: Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NON-PROVISIONAL APPLICATION

Sir:

This is filed in response to the Notice to File Missing Parts of Non-Provisional Application mailed June 18, 2001.

1. The Notice stated that the basic filing fee and additional claims fee is missing. A check is enclosed in the amount of \$1,671.00 which includes:

- a) \$355.00 basic filing fee for small entity;
- b) \$396.00 additional claims fee;
- c) \$65.00 surcharge for late filing fee or declaration; and
- d) \$720.00 extension of time.
- e) \$135.00 multiple dependent claim surcharge.

2. The Notice stated that the Declaration is missing.

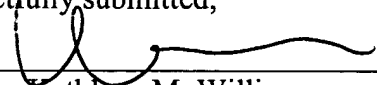
An executed Declaration/Power of Attorney is enclosed.

3. The Notice stated that a substitute specification is required because the paper does not meet the margin requirements.

A substitute specification with margins of at least 2.5 cm (1") (top), 2.5 cm (1") (left), and 2.0 cm (3/4") (bottom), 2.0 cm (3/4") right is submitted herewith. Applicants submit that the substitute specification contains no new matters.

Respectfully submitted,

Date: December 18, 2001

  
Name: Kathleen M. Williams  
Registration No.: 34,380  
Palmer & Dodge LLP  
111 Huntington Avenue  
Boston, MA 02199-7613  
Tel: 617-239-0100



1d-20-01  
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Atty. Docket No.: 18433/2002

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Gruber, et al.  
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Them, and Methods for Obtaining Them"

Examiner:

Group Art Unit:

Conf. No.: 1669

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.10**

I hereby certify that this correspondence (and any paper or fee referred to as being enclosed) is being deposited with the United States Postal Service using Express Mail to Addressee Service, under 37 C.F.R. Section 1.10, Express Mail Label No. EL326923513US on this date, December 18, 2001, postage prepaid, in an envelope addressed to Box: Missing Parts, Commissioner for Patents, Washington, D.C. 20231.

Kathleen M. Williams

Name of Person Mailing Paper

Signature of Person Mailing Paper

Box: Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

**TRANSMITTAL LETTER**

Enclosed for filing in the above-identified patent application, please find the following documents:

1. Response to Notice to File Missing Parts mailed June 18, 2001;
2. Copy of Notice to File Missing Parts - Part 2;
3. Substitute Specification (68 pages);
4. Petition for four months Extension of Time;
5. Executed Declaration and Power of Attorney;
6. Check in the amount of \$1,671.00 for the requisite fee; and
7. Return Post Card.
8. Amendment and Response

The Commissioner for Patents is hereby authorized to charge any additional fees or credit any overpayment in the total fees to Deposit Account No. 16-0085, Reference No. 18433/2002. A duplicate of this transmittal letter is enclosed for this purpose.

Date: December 18, 2001

Respectfully submitted,

Name: Kathleen M. Williams

Registration No.: 34,380

Customer No.: 29933

Palmer & Dodge LLP

111 Huntington Avenue

Boston, MA 02199-7613

Tel: 617-239-0100